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## State of California AIR RESOURCES BOARD

## **EXECUTIVE ORDER U-R-1-15**

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1996 modelyear engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavyduty off-road equipment:

Typical Equipment Usage: Industrial Equipment

Fuel Type: Diesel

| Engine Family | <u>Liters</u> | (Cubic Inches) | Exhaust Emission Control<br>Systems and Special Features |
|---------------|---------------|----------------|--|
| TCP18.RZDBRJ  | 18.0          | (1099)         | Turbocharger<br>Smoke Puff Limitter<br>Charge Air Cooler |

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matters (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

| <u>Exha</u> | <u>ust Emissi</u> | ons (g/bhp | - <u>hr)</u> | Smoke        | <u>Opacity</u> | (%)         |
|-------------|-------------------|------------|--------------|--------------|----------------|-------------|
| THC         | <u>co_</u>        | <u>NOx</u> | <u>PM</u>    | <u>Accel</u> | Lug            | <u>Peak</u> |
| 1.0         | 8.5               | 6.9        | 0.4          | 20           | 15             | 50          |

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

|               | Exhau      | ıst Emiss | <u>ion (g/bh</u> | <u>Smoke</u> | <u>Opacity</u> | ( % )      |             |
|---------------|------------|-----------|------------------|--------------|----------------|------------|-------------|
| Engine Family | <u>THC</u> | <u>co</u> | <u>NOx</u>       | <u>PM</u>    | <u>Accel</u>   | <u>Luq</u> | <u>Peak</u> |
| TCP18.RZDBRJ  | 0.1        | 2.6       | 6.4              | 0.3          | 17             | 5          | 25          |

BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this \_2( day of December 1995.

R. B. Summerfield

Assistant Division Chief Mobile Source Division

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| 1 00,00 |          |    |   | _ |

## 1996 AIR RESOURCES BOARD SUPPLEMENTAL DATA SHEET HEAVY-DUTY OFF-ROAD DIESEL ENGINES

|            | Caterpilla             | r Inc.   |   | Engi   | ine Far  | nily   |   | TO  | CP18.RZDB  | RJ   |            |
|------------|------------------------|--|---|--|--|--|---|---|--|--|------------|
|            |                        |  |   |  | rs/Cub   | ic Inches  | Engine  | Cor   | nfiguration:   |  | V8         |
| Family:    | CA                     | 498  |   | 50S  | XX   | Cert Test  | t Procedu   | res:  | ARB  | EPA  | XX         |
|            | 2                      | valves/cyl.  |   | S  | trokes   | per Combi  | ustion Cy   | cle:  | 4  |  |            |
| =          | 535                    | НР   | (   |  | 399  | KW)  | @   |   | 2100   |  | RPM        |
|            |                        |  |   | Compression with Glow Plug   |  |  | 3   |   | Spark _  |  |            |
|            | 1 ×                    | Flex-Fuel  |   | Dual   | -Fuel  |  | Diesel  | XX  | M100   |  | M85 _      |
|            | <del></del>            | LNG  | LPG   |  | Oti  | ner (specif  | y)  |   |  |  |            |
| 40 CFR 8   | 36.1313-94             | XX   | •   |  | Otl  | ier (specif  | y)  |   |  |  |            |
| quipment:  | Indust                 | rial   | ·<br>   |  |  |  |   |   |  |  |            |
| ., MFI, TO | C, CAC):               | EM, D  | I, TC,  | SPL,   | CAC  |  |   |   |  |  |            |
|            |                        |  |   | bbrev  | viation  | s per SAE  | J1930 JU  | JN93  | 3)   |  |            |
|            | Dedicated CNO 40 CFR 8 | 18.0 / 1     Family: CA       Cylinder   2     Cower: 535     Ssion   XX     Dedicated   X     CNG       40 CFR 86.1313-94 | Family: CA 49S  Sylinder 2 valves/cyl.  Power: 535 HP  ssion XX  Dedicated X Flex-Fuel CNG LNG 40 CFR 86.1313-94 XX  quipment: Industrial | 18.0 / 1099         Family:       CA       49S         Sylinder       2 valves/cyl.         Power:       535       HP       (         Ssion       XX       Compression         Dedicated       ✓       Flex-Fuel | 18.0 / 1099       Liter         Family:       CA       49S       50S         Sylinder       2 valves/cyl.       S         Power:       535       HP       (         ession       XX       Compression         Dedicated       ✓       Flex-Fuel       Dual         CNG       LNG       LPG         40 CFR 86.1313-94       XX         quipment:       Industrial         a., MFI, TC, CAC):       EM, DI, TC, SPL, | 18.0 / 1099       Liters/Cub         Family:       CA       49S       50S       XX         cylinder       2 valves/cyl.       Strokes         cower:       535       HP       ( 399         ession       XX       Compression with         Dedicated       ★       Flex-Fuel       Dual-Fuel         CNG       LNG       LPG       Otl         40 CFR 86.1313-94       XX       Otl         quipment:       Industrial         c., MFI, TC, CAC):       EM, DI, TC, SPL, CAC | Family: CA 49S 50S XX Cert Test Sylinder 2 valves/cyl. Strokes per Combroscion XX Compression with Glow Plug Strokes Dedicated Flex-Fuel Dual-Fuel CNG LNG LPG Other (specification 40 CFR 86.1313-94 XX Other (specification MFI, TC, CAC): EM, DI, TC, SPL, CAC | Family: CA 49S 50S XX Cert Test Proceducy Strokes per Combustion Cyclower: 535 HP ( 399 KW) @ Session XX Compression with Glow Plug Dedicated Flex-Fuel Dual-Fuel Diesel CNG LNG LPG Other (specify) 40 CFR 86.1313-94 XX Other (specify) Quipment: Industrial Compression CNG EM, DI, TC, SPL, CAC | Table   Tabl | Table   Tabl | Tamily: CA |

| Engine Model<br>(Eng. Code) | Rated HP<br>@ RPM | Fuel Rate  @ Rated HP  mm³/stroke  (lbs/lɪr) | Fuel Pump<br>& Injector<br>Part No. | ECM/PCM<br>Part No. | EGR<br>Valve<br>Part No. | PTOX / Catalytic Converter Part No. |
|-----------------------------|-------------------|--|-------------------------------------|---------------------|--------------------------|-------------------------------------|
| 3408 DITA                   | 535 @ 2100        | MAX 222 (209.4)                              | 4W0150/1291351                      |                     |                          |                                     |
|                             | 505 @ 2000        | 214 (191.8)                                  | 4W0150/1291351                      |                     |                          |                                     |
|                             | 425 @ 1800        | MIN 191 (154.5)                              | 4W0150/1291351                      |                     |                          |                                     |
|                             | (1)               |  |                                     |                     |                          |                                     |

(1) See the attached Section 13.01.02.00, Page No. 13.01.02.00 - TCP18.RZDBRJ-1 for a complete rating list.

| ISSUE DATE      | <del></del> | <br> | 10 NO | OV 95 |          | · <del>-</del> - |  |
|-----------------|-------------|------|-------|-------|----------|------------------|--|
| REVISION NUMBER |             |      |       |       |          | <br>             |  |
| REVISION DATE   |             |      |       |       | <u> </u> |                  |  |